

AUG. 9. 2002 1:28PM

Sonnenschein
SONNENSCHN NATH & ROSENTHAL



NO. 1199 P. 1

0410

Facsimile Transmittal Sheet

DATE• August 9, 2002

8000 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
312.876.8000
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PLEASE DELIVER THE FOLLOWING PAGES TO:

NAME• OIPE
FIRM• U.S. Patent & Trademark Office
PHONE•
FAX• 703 746 4060
CLIENT/MATTER• 09792909-5303
FROM• Paula M. Theismann

TOTAL NUMBER OF PAGES TRANSMITTED, INCLUDING THIS SHEET: 8

MESSAGE• REQUEST FOR SUBSTITUTE PAPERS - USSN 10/051,359 - APPLICANT -
ASANO, M. - SNR FILE NO. 09792909-5303

Attached is response to Request for Substitute Papers dated July 26, 2002 (copy attached) -
Response due date August 9, 2002.

Please confirm receipt of same via facsimile to 312 876 7934

Thank you

Original will NOT be mailed

CONFIDENTIALITY NOTE

The documents accompanying this facsimile transmission and the Facsimile Transmission Sheet contain information from the law firm of Sonnenschein Nath & Rosenthal which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this facsimiled information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

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NO. 1199 : P. 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/051,359	01/18/2002	Asano, Mitsuru	09792909-5303

26263
SONNENSCHN NATH & ROSENTHAL
P.O. BOX 061080
WACKER DRIVE STATION
CHICAGO IL 60606-1080

Title: Active matrix display

Date Mailed: 07/26/2002

Request for Substitute Papers

The papers filed on 06/07/02 (certificate of mailing dated 05/31/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

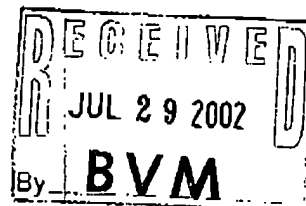
The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Christopher P. Rauch Registration No.: 45,034

Signature: *Christopher P. Rauch* Date: August 9, 2002





PATENT

Client Name & No. Any Corporation #09792901-5303Applicant: do endSer.# / Pat.# 10/051359Due Date: 6/12/02

Please acknowledge receipt of:

- ☐ Application having ___ pages of specification and claims, and ___ sheets of drawings, FIG(S) ___
- ☒ Declaration / Oath
- ☐ Power of Attorney
- ☐ Small Entity Statement
- ☒ Check # 1159676
- ☐ Patent Assignment Cover Sheet
- ☐ Assignment
- ☐ Check # _____
- ☐ Letter
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449 & Refer.
- ☐ Certification
- ☐ Petition
- ☐ Check # _____

Mailed 5/31/02

- ☐ Preliminary Amendment
- ☐ Request for Priority and Pri. Doc.
- ☐ Reply to Office Action
- ☒ Request for Ext. of Time
- ☒ Check # 1159677
- ☐ Amendment After Final Rejection
- ☐ Request for Ext. of Time
- ☐ Check # _____
- ☐ Corrected Drawings
- ☐ Issue Fee Transmittal
- ☐ Check # _____
- ☐ Other _____

☐ Maintenance Fee Transmittal Form

- ☐ Check # _____
- ☒ Cert. of Mailing
- Express Mail No. _____
- By do end

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NO. 1199 : P. 4
BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mitsuru Asano, et al. ATTY. DOCKET NO. 09792909-5303
SERIAL NO. 10/051,359 GROUP ART UNIT: 2673
DATE FILED: January 18, 2002 EXAMINER:
INVENTION: "ACTIVE MATRIX DISPLAY"

SUBMISSION OF MISSING PARTS OF APPLICATION

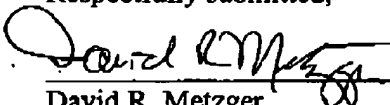
Assistant Commissioner of Patents
Washington, D.C. 20231

S I R:

In response to the Notice to File Missing Parts dated February 12, 2002, the undersigned submits an executed Oath and Declaration as executed by the inventor(s) on April 25 and May 13, 2002.

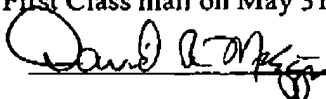
A check in the amount of \$130.00 to cover the required fee of this submission is enclosed. Applicants also request an extension of time to June 12, 2002, for filing this response. A check in the amount of \$400.00 to cover the two-month extension fee is enclosed. The Commissioner also is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

 (Reg. No. 32.919)
David R. Metzger
SONNENSCHN NATH & ROSENTHAL
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, Illinois 60606-1080
Telephone 312/876-8000
Customer #26263
Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on May 31, 2002.



A circular black and white stamp. The outer ring contains the text "PATENT & TRADEMARK OFFICE" at the bottom and "2002" at the top right. The center of the stamp contains the date "AUG 09 2002". The word "PIPE" is partially visible at the top, with the letters "IPE" being more prominent. There is a dark, irregular mark on the left side of the stamp, possibly a hole or a smudge.

Sonnenschein
SONNENSCHN NATH & ROSENTHAL

8000 SEARS TOWER, CHICAGO, IL 60606

REF. #	INV. #	DATE	INVOICE AMOUNT	INVOICE DESCRIPTION	AMOUNT PAID
9923733	5303	05-16-02	130.00	09792909-5303	130.00

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SONNENSCHN NATH & ROSENTHAL

8000 SEARS TOWER, CHICAGO, IL 60606

REF. #	INV. #	DATE	INVOICE AMOUNT	INVOICE DESCRIPTION	AMOUNT PAID
9923732	5303	05-16-02	400.00	09792909-5303	400.00
TOTAL AMOUNT PAID					400.00

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NO. 1199 P. 5



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/051,359	01/18/2002	Mitsuru Asano	09792909-5303

26263
SONNENSCHN NATH & ROSENTHAL
P.O. BOX 061080
WACKER DRIVE STATION
CHICAGO, IL 60606-1080

CONFIRMATION NO. 9291

FORMALITIES LETTER



OC000000007465102

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

[Signature]

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE